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**TRANSMITTAL
FORM**

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Total Number of Pages in This Submission

| | |
|------------------------|--------------------|
| Application Number | 10/593,993 |
| Filing Date | September 22, 2006 |
| First Named Inventor | Yasuhiko Tabata |
| Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | 442P106 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD Remarks | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Form PTO-1449 -Copy of non US references cited - 14 -Copy of the International Search Report dated 6/21/05 |
|---|---|--|

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|-----------------|----------|--------|
| Firm Name | Nields & Lemack | | |
| Signature | | | |
| Printed name | Kevin S. Lemack | | |
| Date | January 9, 2007 | Reg. No. | 32,579 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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| Signature | | | |
| Typed or printed name | Kevin S. Lemack | Date | January 9, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yasuhiko Tabata et al.
Serial No. : 10/593,993
Filed : September 22, 2006
For : NOVEL WATER-SOUBLE FULLERENE, PROCESS FOR PRODUCING THE
SAME AND ACTIVE OXYGEN GENERATOR CONTAINING THE
FULLERINE
Examiner : Not yet assigned
Art Unit : Not yet assigned
Confirmation No: Not yet assigned
Attorney
Docket No. : 442P106

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

The relevance of some of the references cited are shown in the International Search Report filed herein on September 22, 2006, dated June 21, 2005, which indicates the degree of relevance found by the Japanese Patent Office.

Kagaku (Chemistry), 50 (6), 1995, p.331-340 (non-patent document 1) is relevant for the reasons given on page 2, lines 8 to 12.


BIOINDUSTRY, Vol. 14, No. 7, p30-37, 1997 (non-patent document 3) is relevant for the reasons given on Page 2, lines 24 to 28.

Copies of the non U.S. Patents listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **January 9, 2007**

Signature: **Kevin S. Lemack**
Date: **January 9, 2007**

Respectfully submitted,


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|-----------------------------------|---|---------------------------|
| FORM PTO-1449 | ATTY. DOCKET NO. 442P106 | SERIAL NO. 10/593,993 |
| | LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | |
| Yasuhiko Tabata et al. | | |
| FILING DATE September 22, 2006 | | GROUP Not yet assigned |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|------|------|-------|----------|----------------------------|
| AA | | | | | | |
| AB | | | | | | |
| AC | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----|-----------------|--------|---------|-------|----------|-------------|------|
| | | | | | | YES | NO |
| BA | 9-235235 | 9/1997 | Japan | | | *-SR | |
| BB | 2002-241307 | 8/2002 | Japan | | | *-SR | |
| BC | 9-136964 | 5/1997 | Japan | | | *-SR | |
| BD | 2000-44215 | 2/2000 | Japan | | | *-SR | |
| | 2002-504525 | 2/2002 | Japan | | | | X-SR |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | |
|---|--|
| CA | Copy of the International Search Report dated 6/21/05 |
| CB | Kagaku (Chemistry), 50 (6), 1995; p.331-340 |
| CC | Matsumura et al., Gan to Kagaku-ryohou (Japanese Journal of Cancer and Chemotherapy), Vol. 14, No. 3, p821-829, 1987, with English summary |
| CD | BIOINDUSTRY, Vol. 14, No. 7, p.30-37, 1997 |
| CE | Toxicology in vitro, Vol. 16, p41-46, 2002; X.L. Yang; "Photo-induced cytotoxicity of malonic acid [C60]fullerene derivatives and its mechanism" |
| CF | Science, Vol. 247, p1439-1445, 1990; Kenneth S. Suslick; "Sonochemistry". |
| CG | Yihan Wang et al.; Tetrahedron Letters, Vol. 36, no. 38, pp.6843-6846, 1995; "A Superior Synthesis of [6,6]-Methanofullerenes: The Reaction of Sulfonium Ylides with C60". |
| EXAMINER | /Paul Dickinson/ |
| DATE CONSIDERED | 06/02/2008 |
| EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

*=English Abstract

SR=Cited in Search Report

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./